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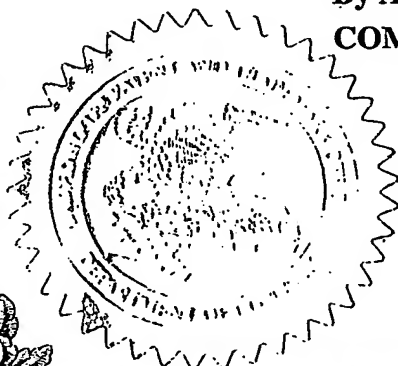
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APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A
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PROVISIONAL APPLICATION COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION under 35 USC 111(b).

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2. TITLE OF THE INVENTION: **ISOMERIZATION OF SEMICARBAZONE COMPOUNDS**

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4. ENCLOSED APPLICATION PARTS:

☒ Specification 11 pages
☐ Drawings sheets
☒ Claims 8 claims

5. METHOD OF PAYMENT

☒ A check in the amount of \$160.00 is attached to cover the required Provisional filing fee.
☒ The commissioner is hereby authorized to charge any deficiency in fees to Deposit Account 11.0345.

6. The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No
☐ Yes, the name of the U.S. Government agency and the Government contract number are:

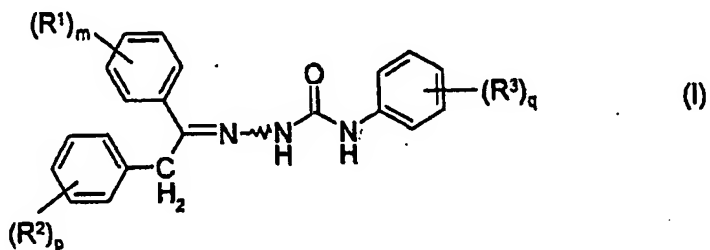
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ISOMERISATION OF SEMICARBAZONE COMPOUNDSBackground of the invention

- 5 The present invention relates to the isomerisation of the Z-isomer I-Z of semicarbazone compounds of the general formula I into its E-isomer I-E



- 10 where the variables in formula I have the following meanings:

m, p and q are each independently an integer of 0, 1, 2, 3 or 4

R¹, R², R³ are each independently halogen; OH; CN; NO₂;

C₁-C₆-alkyl, optionally substituted with C₁-C₄-alkoxy, C₁-C₄-haloalkoxy or

C₃-C₆-cycloalkyl;

15 C₁-C₆-haloalkyl;

C₃-C₆-cycloalkyl;

C₁-C₆-alkoxy, optionally substituted with C₁-C₄-alkoxy or

C₃-C₆-cycloalkyl;

C₁-C₆-haloalkoxy;

20 C₁-C₆-alkylcarbonyl;

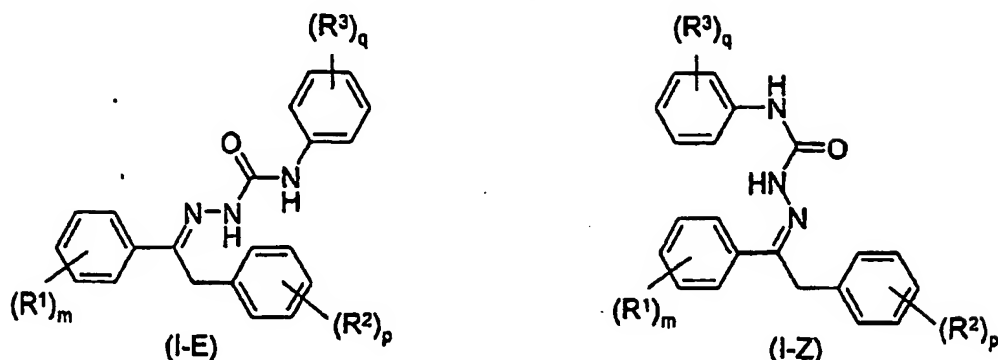
C₃-C₆-cycloalkoxy;

C₁-C₆-alkoxycarbonyl or

C₁-C₆-alkoxycarbonyloxy.

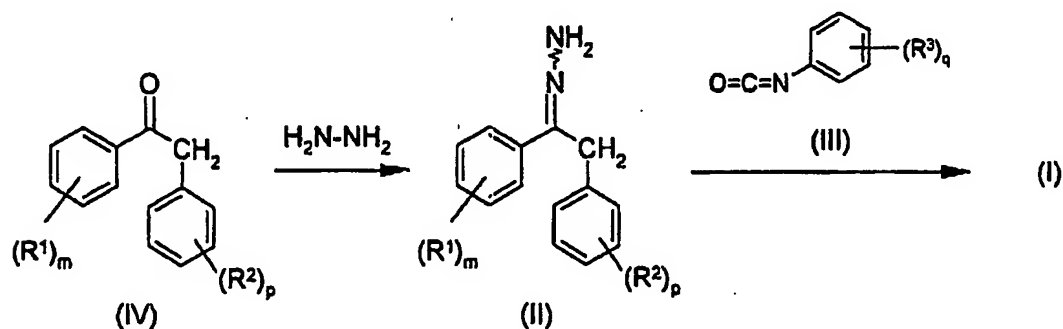
- 25 Semicarbazone compounds of the general formula I are known from EP-A-462456 to be effective as pest-controlling agents. Semicarbazones of the formula I have two geometrical isomers with regard to the C=N-double bond, namely the E-form I-E and the Z-form I-Z.

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At room temperature these geometrical isomers are stable with regard to E/Z-isomerisation. As regards the relative pesticidal activity of these compounds, the E-form I-E is generally more active than the Z-form I-Z. Therefore, agriculturally and commercially acceptable specifications of semicarbazones I require an E/Z-ratio of at least 9 : 1 and preferably at least 10 : 1.

Compounds of the formula I can be prepared by the process illustrated in the following scheme:



Significant amounts of the undesired Z-isomer I-Z are formed by this process. Moreover, much effort is needed to achieve the desired E/Z-ratio. Firstly, long reaction times are required to achieve a high E/Z-ratio in the hydrazone precursor II, necessary for obtaining the desired E/Z-ratio in the final product I. Secondly, the crystallisation of the E-isomer I-E in the presence of the Z-isomer I-Z is tedious and difficult. In order to obtain a high isolated yield of the desired E-isomer, some of the Z-isomer must also be crystallized with the E-isomer from the reaction mixture. Similarly, in order to obtain the desired E/Z-ratio in the crystallized product, a low isolated yield of the E-isomer is necessary, so that the undesired Z-isomer is completely solubilised along with significant amount of E-isomer in the reaction mixture. Thirdly, recrystallisation of isolated product I containing significant amounts of the undesired Z-isomer to obtain the desired E/Z-ratio is also tedious and difficult. As with crystallisation from the reaction mixture, either low crystallisation recoveries or high Z-isomer content of the final product are obtained.

3

These involve the risk of either isolating a product in low yield or not having not required E/Z-ratio.

Consequently, there is a need for a method which allows a simple isomerisation of the Z-isomer of I into its E-isomer I-E.

Summary of invention

The inventors of the present invention have surprisingly found that the Z-isomer of the compound I can be isomerised into the E-isomer of I by reacting the Z-form I-Z or a mixture of the geometric isomers I-E and I-Z in the presence of iodine. This result was quite astonishing since irradiation of mixtures of the geometrical isomers I-Z and I-E predominately leads to the Z-isomer I-Z.

Therefore, the present invention relates to a process for the isomerisation of the Z-isomer I-Z of a compound of the general formula I as defined above into its E-isomer I-E by reacting Z-isomer I-Z or a mixture of the geometrical isomers I-Z and I-E in the presence of iodine.

Detailed description of invention

In general, about 0,1 % by weight, preferably at least 0,2 % by weight and more preferably at least 0,5 % by weight of iodine, based on the total amount of the compound I, are required to achieve an isomerisation within acceptable reaction times. For practical reasons, the amount of iodine will not exceed 10 % by weight and preferably not 5 % by weight, based on the total amount of the compound I. Most preferably the isomerisation is carried out in the presence of 1 to 4 % by weight of iodine.

In general, the reaction temperature will be at least 40° C, preferably at least 50° C and more preferably at least 60° C to achieve an isomerisation within an acceptable reaction time. For practical reasons, the reaction temperature in general will not exceed 150° C and preferably not 100° C.

The process of the invention can be performed by starting from the almost pure Z-isomer I-Z (E/Z-ratio < 5 : 95) or from mixtures of the geometrical isomers I-E and I-Z (E/Z-ratio > 5 : 95). In a preferred embodiment of the present invention a mixture of the geometrical isomers I-E and I-Z having an E/Z-ratio ranging from 1 : 1 to 15 : 1, preferably from 2 : 1 to 15 : 1 and especially from 3:1 to 10:1 is used as a starting material.

4

In general, the isomerisation of I is performed until an E/Z-ratio of at least 95:5, preferably at least 97:3 and more preferably at least 98:2 is reached. The reaction time which is required to achieve the desired E/Z-ratio is in the range from 20 min. to 14 h, preferably 1 to 8 h and more preferably 2 to 4 h.

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The isomerisation may be performed in an inert organic solvent or diluent. Suitable solvents are aromatic solvents such as benzene, toluene, xylenes, chlorobenzene, dichlorobenzene, acyclic ethers such as diethyl ether, methyl-tert.-butyl ether, alicyclic ethers such as tetrahydrofuran and dioxane, alkanols such as methanol, ethanol, propanol, isopropanol, n-butanol, ketones such as acetone and methylethyl ketone, nitriles such as acetonitrile and propionitrile, carbonates such as dimethylcarbonate, diethylcarbonate, ethylene carbonate and propylene carbonate, aliphatic and alicyclic hydrocarbons such as hexane, isohexane, heptane and cyclohexane and mixtures of the aforementioned solvents. Preferred solvents are the aforementioned aromatic solvents, especially toluene, xylene and mixtures of the aforementioned solvents which contain at least 50 % by volume of the aforementioned aromatic solvents. In order to perform the isomerisation in an inert solvent or diluent, the Z-isomer I-Z or a mixture of the geometrical isomers I-E and I-Z can be dissolved or suspended in a suitable solvent and reacted in the presence of iodine as outlined above. It is also possible, to perform the isomerisation either in the reaction mixture obtained from the reaction of the hydrazone II and the isocyanate III or in the mother liquor obtained after crystallisation of the compound I from the reaction mixture.

In order to obtain the E-isomer I-E, optionally together with small amounts of Z-isomer I-Z, the isomerisation mixture is worked-up in a usual manner. Preferably, the isomer I-E, optionally together with small amounts of isomer I-Z (in general not more than 5 % by weight) is isolated from the liquid reaction mixture by crystallisation or precipitation. Crystallisation or precipitation may be achieved either by cooling and/or concentration of the liquid reaction mixture and/or by the addition of an inert solvent which decreases the solubility of the compound I in the reaction mixture. Suitable solvents for decreasing the solubility of the compound I are aliphatic or alicyclic hydrocarbons such as hexane, heptane, isohexane and cyclohexane.

In another preferred embodiment of the present invention, the isomerisation of I-Z is performed in the absence of a solvent or diluent. In other words, the isomerisation of the Z-isomer I-Z is performed in the solid phase or in the melt-phase. Thus, the solid or molten compound I-Z or a solid or molten mixture of the geometrical isomers I-E and I-Z is reacted with iodine as outlined above. After the desired degree of isomerisation is achieved, the iodine can be simply removed by sublimation, e. g. by increasing the temperature and/or by applying reduced pressure. The residue usually contains only

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compound I having an increased E/Z-ratio with regard to the starting material and optionally those impurities contained in the starting material. The residue usually does not contain any further impurities.

- 5 Starting materials for the isomerisation in the absence of a solvent or diluent may be the pure Z-isomer or mixtures of the geometrical isomers I-E and I-Z. Examples of such mixtures are crystalline products which do not fulfil the required E/Z-ratio and the residue obtained from the mother liquor of the crystallisation of I during the work-up in the preparation of I.

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The organic moieties mentioned in the above definitions of the variables are - like the term halogen - collective terms for individual listings of the individual group members. The prefix C_n-C_m indicates in each case the possible number of carbon atoms in the group. The term halogen denotes in each case fluorine, bromine, chlorine or iodine, in particular fluorine or chlorine. Examples of other meanings are :

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- The term "C₁-C₆-alkyl" as used herein and the alkyl moieties of C₁-C₆-alkoxy, C₁-C₆-alkoxycarbonyl, C₁-C₆-alkylcarbonyl, and C₁-C₆-alkoxycarbonyloxy refer to a saturated straight-chain or branched hydrocarbon group having from 1 to 6 carbon atoms, especially from 1 to 4 carbon groups, for example methyl, ethyl, propyl, 1-methylethyl, butyl, 1-methylpropyl, 2-methylpropyl, 1,1-dimethylethyl, pentyl, 1-methylbutyl, 2-methylbutyl, 3-methylbutyl, 2,2-dimethylpropyl, 1-ethylpropyl, hexyl, 1,1-dimethylpropyl, 1,2-dimethylpropyl, 1-methylpentyl, 2-methylpentyl, 3-methylpentyl, 4-methylpentyl, 1,1-dimethylbutyl, 1,2-dimethylbutyl, 1,3-dimethylbutyl, 2,2-dimethylbutyl, 2,3-dimethylbutyl, 3,3-dimethylbutyl, 1-ethylbutyl, 2-ethylbutyl, 1,1,2-trimethylpropyl, 1,2,2-trimethylpropyl, 1-ethyl-1-methylpropyl and 1-ethyl-2-methylpropyl. C₁-C₄-alkyl means for example methyl, ethyl, propyl, 1-methylethyl, butyl, 1-methylpropyl, 2-methylpropyl or 1,1-dimethylethyl.

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In C₁-C₆-alkyl one hydrogen may be substituted by a radical, selected from C₁-C₄-alkoxy, C₁-C₄-haloalkoxy and C₃-C₆-cycloalkyl.

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The term "C₁-C₆-haloalkyl" as used herein refers to a straight-chain or branched saturated alkyl group having 1 to 6 carbon atoms (as mentioned above), where some or all of the hydrogen atoms in these groups may be replaced by halogen atoms as mentioned above, for example C₁-C₄-haloalkyl, such as chloromethyl, bromomethyl, dichloromethyl, trichloromethyl, fluoromethyl, difluoromethyl, trifluoromethyl, chlorofluoromethyl, dichlorofluoromethyl, chlorodifluoromethyl, 1-chloroethyl, 1-bromoethyl, 1-fluoroethyl, 2-fluoroethyl, 2,2-difluoroethyl, 2,2,2-trifluoroethyl, 2-chloro-2-fluoroethyl, 2-chloro-2,2-difluoroethyl, 2,2-dichloro-2-fluoroethyl, 2,2,2-trichloroethyl, pentafluoroethyl

and the like.

The term "**C₁-C₂-fluoroalkyl**" as used herein refers to a C₁-C₂-alkyl which carries 1, 2, 3, 4 or 5 fluorine atoms, for example difluoromethyl, trifluoromethyl, 1-fluoroethyl, 2-fluoroethyl, 2,2-difluoroethyl, 2,2,2-trifluoroethyl, 1,1,2,2-tetrafluoroethyl or pentafluoroethyl.

The term, "**C₁-C₆-alkoxy**" as used herein and the alkoxy moieties of **C₁-C₆-alkoxycarbonyl**, and **C₁-C₆-alkoxycarbonyloxy** refers to a straight-chain or branched saturated alkyl group having 1 to 6 carbon atoms (as mentioned above) which is attached via an oxygen atom to the remainder of the molecule. Examples include methoxy, ethoxy, OCH₂-C₂H₅, OCH(CH₃)₂, n-butoxy, OCH(CH₃)-C₂H₅, OCH₂-CH(CH₃)₂, OC(CH₃)₃, n-pentoxy, 1-methylbutoxy, 2-methylbutoxy, 3-methylbutoxy, 1,1-dimethylpropoxy, 1,2-dimethylpropoxy, 2,2-dimethylpropoxy, 1-ethylpropoxy, n-hexoxy, 1-methylpentoxy, 2-methylpentoxy, 3-methylpentoxy, 4-methylpentoxy, 1,1-dimethylbutoxy, 1,2-dimethylbutoxy, 1,3-dimethylbutoxy, 2,2-dimethylbutoxy, 2,3-dimethylbutoxy, 3,3-dimethylbutoxy, 1-ethylbutoxy, 2-ethylbutoxy, 1,1,2-trimethylpropoxy, 1,2,2-trimethylpropoxy, 1-ethyl-1-methylpropoxy, 1-ethyl-2-methylpropoxy and the like.

In C₁-C₆-alkoxy one hydrogen may be substituted by a radical, selected from C₁-C₆-alkoxy and C₃-C₆-cycloalkyl.

The term "**C₁-C₆-haloalkoxy**" as used herein refers to a C₁-C₆-alkoxy group as mentioned above which is partially or fully substituted by fluorine, chlorine, bromine and/or iodine, i.e., for example, C₁-C₆-haloalkoxy such as chloromethoxy, dichloromethoxy, trichloromethoxy, fluoromethoxy, difluoromethoxy, trifluoromethoxy, chlorofluoromethoxy, dichlorofluoromethoxy, chlorodifluoromethoxy, 2-fluoroethoxy, 2-chloroethoxy, 2-bromoethoxy, 2-iodoethoxy, 2,2-difluoroethoxy, 2,2,2-trifluoroethoxy, 2-chloro-2-fluoroethoxy, 2-chloro-2,2-difluoroethoxy, 2,2-dichloro-2-fluoroethoxy, 2,2,2-trichloroethoxy, pentafluoroethoxy, 2-fluoropropoxy, 3-fluoropropoxy, 2,2-difluoropropoxy, 2,3-difluoropropoxy, 2-chloropropoxy, 3-chloropropoxy, 2,3-dichloropropoxy, 2-bromopropoxy, 3-bromopropoxy, 3,3,3-trifluoropropoxy, 3,3,3-trichloropropoxy, 2,2,3,3,3-pentafluoropropoxy, heptafluoropropoxy, 1-(fluoromethyl)-2-fluoroethoxy, 1-(chloromethyl)-2-chloroethoxy, 1-(bromomethyl)-2-bromoethoxy, 4-fluorobutoxy, 4-chlorobutoxy, 4-bromobutoxy, nonafluorobutoxy, 5-fluoro-1-pentoxy, 5-chloro-1-pentoxy, 5-bromo-1-pentoxy, 5-iodo-1-pentoxy, 5,5,5-trichloro-1-pentoxy, undecafluoropentoxy, 6-fluoro-1-hexoxy, 6-chloro-1-hexoxy, 6-bromo-1-hexoxy, 6-iodo-1-hexoxy, 6,6,6-trichloro-1-hexoxy or dodecafluorohexoxy, in

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particular chloromethoxy, fluoromethoxy, difluoromethoxy, trifluoromethoxy, 2-fluoroethoxy, 2-chloroethoxy or 2,2,2-trifluoroethoxy.

- 5 The term "**C₃-C₆-Cycloalkyl**" as used herein refers to a cycloaliphatic radical having from 3 to 6 carbon atoms, such as cyclopropyl, cyclobutyl, cyclopentyl and cyclohexyl. The cycloalkyl radical may be unsubstituted or may carry 1 to 6 C₁-C₄ alkyl radicals, preferably a methyl radical.

In general, the isomerisation can be performed on any of the compounds of the formula

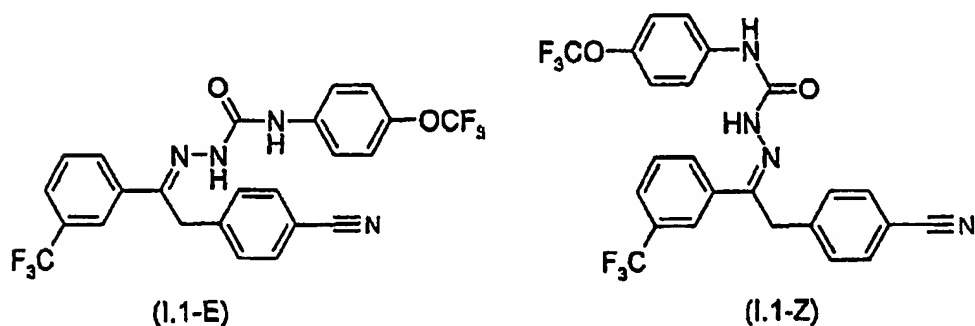
- 10 I. In a preferred embodiment of the invention the variables m, p and q are each 1.

Preferred radicals R¹, R², R³ are each independently halogen, CN, C₁-C₆-alkyl, C₁-C₆-haloalkyl, C₁-C₆-alkoxy or C₁-C₆-haloalkoxy. More preferably R¹ is halogen or C₁-C₄-haloalkyl, especially CF₃, R² is CN and R³ is C₁-C₄-haloalkoxy, especially OCF₃.

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An example of an especially preferred compound I is a compound where R¹ is CF₃ located in the 3-position of the phenyl ring, R² is CN located in the 4-position of the phenyl ring and R³ is OCF₃ located in the 4-position of the phenyl ring. This compound is referred to as I.1, the isomers are referred to as I.1-E and I.1-Z:

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- 25 The process of the present invention allows an easy isomerisation of the Z-isomer I-Z into its E-isomer I-E. The isomerisation usually yields a high E/Z-ratio which exceeds 95 : 5, preferably 97 : 3 and more preferred 98 : 2. No noticeable amounts of by-products are formed, i. e. the yield of compound I is > 99 %. Therefore, the process of the present invention can be used to simplify the preparation of compounds I with the desired E/Z-ratio of $\geq 9 : 1$.

- 30 The following examples are intended to illustrate the present invention without limiting its scope.

Example 1:

Conversion of the pure Z-form of compound I.1 into its E-form.

- 5 A mixture of 2 g of the Z-isomer I.1-Z and 0.04 g of iodine were heated at 90° C in a sealed tube. Liquid chromatography (see below) show the product contains 97.8 % of the E-isomer I.1-E and 2.2 % of the Z-isomer I.1-Z (E/Z-ratio 97.8:2.2). The iodine was then removed *in vacuo* at 90° C for two hours. The recovery yield was 100 %. No other impurities could be detected by liquid chromatography.

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Liquid chromatography: column: reversed phase RP 8-column, Kromasil 100-3.5C8; element: acetonitril / (water + 0.1% trifluoroacetic acid, pH 2.4) gradient; detection: UV 2235.4 nm.

15 Example 2:

Treatment of a crude reaction mixture containing 97.3 % of compound I.1 having an E/Z-ratio of about 4.9:1.

- 20 2 g of a solid containing about 97.3 % of compound I.1 having an E/Z-ratio of about 4.9:1 and 0.04 g of iodine were heated at 90° C in a sealed tube for two hours. The iodine was then removed *in vacuo* by drying at 90° C overnight. The recovery yield is about 100 %. No additional impurities could be detected. The product contained 95.9 % by weight of the E-isomer I.1-E and 1.4 % by weight of the Z-isomer I.1-Z as determined by liquid chromatography (E/Z-ratio 68.5 : 1).
- 25

Example 3:

Conversion of pure Z-form of compound I.1.

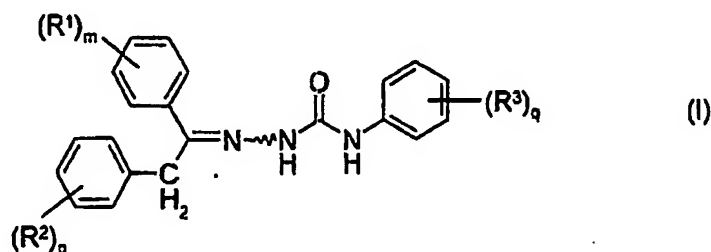
- 30 2 g of compound I.1-Z and 0.1 g of iodine were suspended in 8 g of chlorobenzene and the resulting slurry was heated at 60° C for six hours. Then the reaction mixture was cooled and 10 g of hexanes were added. The reaction product was filtered and dried in an oven at 70° C over night. Thus, 1.8 g were obtained. Thereby, an E/Z-ratio of about 12 : 1 was achieved.

BASF Aktiengesellschaft

AM-200095

Claims:

1. A process for the isomerisation of the Z-isomer I-Z of a compound of the general formula I into its E-isomer I-E



wherein

- 10
- m, p and q are each independently an integer of 0, 1, 2, 3 or 4
- R¹, R², R³ are each independently halogen; OH; CN; NO₂;
- C₁-C₆-alkyl, optionally substituted with C₁-C₄-alkoxy, C₁-C₄-haloalkoxy or C₃-C₆-cycloalkyl;
- C₁-C₆-haloalkyl;
- C₃-C₆-cycloalkyl;
- 15
- C₁-C₆-alkoxy optionally substituted with C₁-C₄-alkoxy or C₃-C₆-cycloalkyl;
- C₁-C₆-haloalkoxy;
- C₁-C₆-alkylcarbonyl;
- C₃-C₆-cycloalkoxy;
- 20
- C₁-C₆-alkoxycarbonyl or
- C₁-C₆-alkoxycarbonyloxy;

which is characterized in that the Z isomer I-Z or a mixture of the stereoisomers I-Z and I-E is reacted in the presence of iodine.

- 25
2. The process as claimed in claim 1, wherein iodine is used in amounts from 0.1 to 10% by weight, based on the total amount of the compound of the general formula I.
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3. The process as claimed in claim 1, wherein the isomerisation is performed in an inert solvent or diluent.
4. The process as claimed in claim 1, wherein the isomerisation is performed in the absence of a solvent or diluent.
- 35
5. The process as claimed in claim 1, wherein a mixture of the isomers I-Z and I-E having an E/Z-ratio ranging from 15 : 1 to 2 : 1 is reacted.

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6. The process as claimed in claim 1, wherein the isomerisation is performed at a temperature ranging from 40 to 150°C.
- 5 7. The process as claimed in claim 1, where in formula I
m, p and q are each 1 and
R¹, R², R³ are each independently halogen, CN, C₁-C₆-alkyl, C₁-C₆-haloalkyl,
C₁-C₆-alkoxy or C₁-C₆-haloalkoxy.
- 10 8. The process as claimed in claim 7, where in formula I R¹ is CF₃ located in the 3-position of the phenyl ring, R² is CN located in the 4-position of the phenyl ring and R³ is OCF₃ located in the 4-position of the phenyl ring.

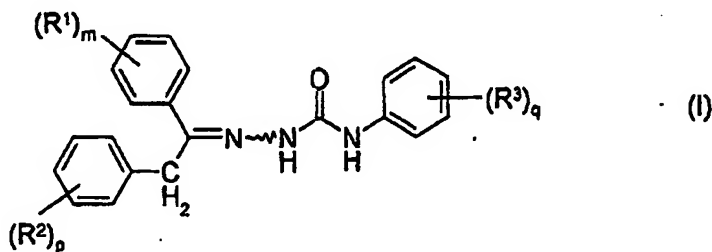
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[AM 200095]

Abstract

Isomerisation of semicarbazone compounds

- 5 The present invention relates to the isomerisation of the Z-isomer I-Z of semicarbazone compounds of the general formula I into its E-isomer I-E



- 10 where the variables in formula I have meanings given in claim 1